

1010 Rec'd PCT/PTO 29 APR 2005

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

FOR FILING SDOCKET NUMBER  
19170-002US1 FR03/03205 US  
U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)  
**10/533377**

INTERNATIONAL APPLICATION NO.  
PCT/FR2003/003205

INTERNATIONAL FILING DATE  
28 October 2003

PRIORITY DATE CLAIMED  
29 October 2002

TITLE OF INVENTION  
HIGH PURITY PHTHALEIN DERIVATIVES AND METHOD FOR PREPARING SAME

APPLICANT(S) FOR DO/EO/US  
Joanne Tran-Guyon and Francois Scherninski


Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☒ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 C.F.R. 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 C.F.R. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:  
English Translation of International Search Report

CERTIFICATE OF MAILING BY EXPRESS MAIL  
Express Mail Label No. EV 664065805 US  
Date of Deposit: April 29, 2005

U.S. APPLICATION NO. <b>10/533377</b>		INTERNATIONAL APPLICATION NO. <b>JC20 Rec'd PCT/PTO 29</b>		ADDITIONAL SOCKET NUMBER 15778-002US1	
The following fees have been submitted				CALCULATIONS PTO USE ONLY	
21. <input checked="" type="checkbox"/> Basic national fee ..... \$300				\$300	
22. <input checked="" type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) ..... \$100 All other situations ..... \$200				\$200.00	
23. <input checked="" type="checkbox"/> Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority ..... \$100 International Search Report prepared and provided to the Office ..... \$400 All other situations ..... \$500				\$400.00	
Total of 21, 22 and 23 =				\$900.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
-100 =	/50 =		X \$250	\$0.00	
Surcharge of \$130 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 C.F.R. 1.492(h)).				\$0.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	32 - 20 =	12	x \$50	\$600.00	
Independent Claims	6 - 3 =	3	x \$200	\$600.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360	\$0.00	
TOTAL OF ABOVE CALCULATIONS =				\$2,100.00	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 C.F.R. 1.27. Fees above are reduced by 1/2.				\$1,050.00	
SUBTOTAL =				\$1,050.00	
Processing fee of \$130 for furnishing the English Translation later than 30 months from the earliest claimed priority date (37 C.F.R. 1.492(i))					
+				\$0.00	
TOTAL NATIONAL FEE =				\$1,050.00	
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property					
+				\$0.00	
TOTAL FEES ENCLOSED =				\$1,050.00	
				Amount to be refunded:	\$0.00
				Amount to be charged:	\$0.00
<p>a. <input checked="" type="checkbox"/> A check in the amount of \$1,050.00 to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. 06-1050 in the amount of \$0.00 to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-1050. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p>					
<p>NOTE: Where an appropriate time limit under 37 C.F.R. 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b) must be filed and granted to restore the International Application to pending status.</p>					
SEND ALL CORRESPONDENCE TO:					
PTO Customer No:		26161		 SIGNATURE	
				Y. Rocky Tsao	
				NAME	
				34,053	
				REGISTRATION NUMBER	

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**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
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INTERNATIONAL APPLICATION NO.  
PCT/FR2003/003205

INTERNATIONAL FILING DATE  
28 October 2003

ATTORNEY'S DOCKET NUMBER  
19170-002US1 FR03/03205 US

U.S. APPLICATION NO. (if known) 37 C.F.R. 1.5)

PRIORITY DATE CLAIMED  
29 October 2002

TITLE OF INVENTION

HIGH PURITY PHTHALEIN DERIVATIVES AND METHOD FOR PREPARING SAME

APPLICANT(S) FOR DO/EO/US

Joanne Tran-Guyon and Francois Scherninski

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Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
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3. ☐ This is a request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items

**FR FISH & RICHARDSON P.C.**

225 Franklin Street  
Boston, Massachusetts  
02110-2804

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PAY *One thousand fifty*

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TO THE ORDER OF

**COMMISSIONER OF PATENTS AND TRADEMARKS**

DATE

AMOUNT

*Apr. 29, 2005*

*1,050.00*

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11. ☐ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
12. ☐ An assignment statement in compliance with 37 C.F.R. 3.28 and 3.31 is included.
13. ☒ A preliminary cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
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16. ☐ A power of attorney in compliance with 37 C.F.R. 3.28 and 3.31 is included.
17. ☐ A copy of the submission in compliance with 37 C.F.R. 3.28 and 3.31 is included.
18. ☐ A second submission in compliance with 37 C.F.R. 3.28 and 3.31 is included.
19. ☐ A second submission in compliance with 37 C.F.R. 3.28 and 3.31 is included.
20. ☒ Other English language documents in compliance with 37 C.F.R. 3.28 and 3.31 are included.

Attorney's Docket No. 19170-002US1	Express Mail Label No. EV 664065805 US	Mailing Date April 29, 2005
Application No.	Filing Date	Attorney/Secretary Init YRT/kym/dzs

Title of the Invention  
HIGH PURITY PHTHALEIN DERIVATIVES AND METHOD FOR  
PREPARING SAME

Applicant Joanne Tran-Guyon et al.

Client Reference No.  
FR03/03205 US

Enclosures

- Transmittal Letter (2 pages)
- Patent Application (24 pages of specification, 7 pages of claims, 21 total claims, 1 pages of abstract)
- Check in the amount of \$1.00
- Drawings (informal, 6 sheets)
- Verification of Translation
- Preliminary Amendment (11 pages)
- English translation of Version with corrections (amended sheets 10 pages)
- English Translation of International Search Report

**For PTO Use Only  
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**10/533377**

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305 US  
9, 2005